

Last Updated: 03/05/2014														
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Item	Service Area	Project Name (if applicable)	Risk Category	Risk Description	Probability [1 to 5]	Impact [1 to 5]	Ranking as at 19.11.13	Proximity	Risk Response Categories	Risk Response (what is the Risk Owner doing to mitigate the risks)	Probability	Impact	Ranking	Risk Owner
RSK1	Corporate	Failure to Deliver Corporate Plan	Organisational / management / human factors	Failure to deliver the key actions and priorities as set out in the Corporate Plan, therefore resulting in potential reputational damage to both residents and staff	4	4	16	Year 1	Reduce	Clear Business Cases and Work Plans to ensure that the programme of work is delivered. This will be subject to regular monitoring by Senior Officers and Members. All new funding requests must demonstrate a clear linkage to the delivery of the Corporate Plan prior to approval. <b>19/05/14</b> No change.	2	4	8	Jo-Anne Ireland
RSK5	Project	William Hunter Way	Organisational / management / human factors	Legal Challenge - there is the potential for a legal challenge to the previous decision to terminate the Development Agreement	4	5	20	Year 1	Reduce	Due to extensive documentation and legal and professional independent advice taken, the risk has reduced substantially. <b>30/04/14</b> Termination of the DA took place 6th Feb 2014. Due to the time that has passed since then, it is now unlikely that Stockland will mount a legal challenge.	1	3	3	Jo-Anne Ireland
RSK8	Finance	Financial Uncertainty	Economic / financial / market	Continued funding reductions and uncertainty about medium - long term funding levels.	3	4	12	Year 2-3	Reduce	Medium Term Financial Planning is undertaken on an annual basis with monitoring arrangements in place throughout the year. <b>16/05/14</b> No change.	3	3	9	Jo-Anne Ireland
RSK9	Finance	Financial Uncertainty	Economic / financial / market	Target levels for Fees and Charges Income are not achieved	3	4	12	Year 1	Avoid	Monthly budget monitoring. <b>16/05/14</b> No change.	1	3	3	Jo-Anne Ireland
RSK10	Finance	Financial Uncertainty	Economic / financial / market	<b>Local Council Tax Support:</b> further reductions in the current level of grant funding and/or increase in the number of claimants.	3	4	12	Year 1	Avoid	The Council will review its scheme on an annual basis in order to validate its financial viability and to reflect any demographic or other changes required. Quarterly monitoring arrangements are also in place to consider the impact of the scheme on collection targets and residents. A Funding Volatility Reserve has been created to mitigate against the financial uncertainty. <b>16/05/14</b> No change.	1	4	4	Jo-Anne Ireland
RSK11	Finance	Financial Uncertainty	Economic / financial / market	<b>Localisation of Business Rates:</b> relocation or closure of major businesses will reduce the amount of collectable business rates. In addition to this, there are still a significant number of appeals outstanding which, if successful, will be backdated.	3	4	12	Year 1	Reduce	Localisation of Business Rates: The Government have included a "Safety Net" scheme which will be triggered on any loss in income greater than 7.5%. Quarterly monitoring arrangements are in place to monitor the economic activity and VOA progress with the appeals. A Funding Volatility Reserve has been created to mitigate against the financial uncertainty. <b>16/05/14</b> No change.	2	4	8	Jo-Anne Ireland

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RSK12	Project	Transformation Agenda	Organisational / management / human factors	Failure to deliver an effective Customer Services Project, therefore resulting in poor customer service.	4	4	16	Year 1	Reduce	Clear Business Cases and Work Plans to ensure that the programme of work is delivered. This will be subject to regular monitoring by Senior Officers and Members. Comprehensive recruitment exercise will be undertaken, supported by a regular training programme. Continued identification and development of e-Forms to support the on-line facility. <b>19/05/14</b> No change.	2	3	6	Philip Ruck
RSK13	Project	Transformation Agenda	Organisational / management / human factors	Failure to create successful streamlined back office services.	4	4	16	Year 1	Reduce	Regular and informed communications. Robust consultation processes with staff directly affected by the proposed changes. <b>19/05/14</b> No change.	2	3	6	Philip Ruck
RSK15	Planning	Implementation of LDP	Legal and regulatory	Failure to deliver LDP on target - failure to have an adopted LDP in place as soon as possible and no later than April 2015 will result in developers submitting planning applications for speculative development contrary to local aspirations and Council policies. This could lead to a series of unplanned developments without the timely provision of infrastructure to support them.	3	4	12	Year 2-3	Reduce	A team is in place with a project plan and the necessary resources to deliver the draft LDP and supporting documents. This includes a series of member workshops. <b>14/05/14</b> Following member discussions, a revised programme and work allocation is currently being prepared. This is likely to show a revised date for the Examination in Public of June 2015, although every effort is being made to prevent any programme slippage.	2	3	6	Tony Pierce
RSK16	Project	Town Hall Remodelling	Organisational / management / human factors	Council budgets are not eased due to the delay or failure of the project, or increase in cost or challenge to capital nature of project	2	4	8	Year 1	Avoid	Town Hall Delivery Working Group set up and continuing to monitor progress. A full design team has been appointed, and are on standby pending approval of the project, to manage the project through to a successful completion. <b>30/04/14</b> The Council has now joined the Scape framework agreement which involves a reappraisal of the project finances.	2	3	6	Philip Ruck
RSK17	Project	Town Hall Remodelling	Organisational / management / human factors	Loss of reputation if the project failed	2	4	8	Year 2-3	Avoid	Heads of Terms stage with two potential tenants. Project Manager engaged. <b>30/04/14</b> HoT with Chromex have been signed. Communications and stakeholder engagement at a good level.	2	2	4	Philip Ruck
RSK18	Project	Town Hall Remodelling	Organisational / management / human factors	Failure of commercial tenant	2	4	8	Over Partnership	Avoid	Parent company guarantee. Phased approach. Full due diligence undertaken. <b>30/04/14</b> No change	2	2	4	Philip Ruck

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RSK19	Project	Town Hall Remodelling	Organisational / management / human factors	Impact on service delivery	2	4	8	Year 1	Reduce	Full design team appointed to manage project. <b>30/04/14</b> No change	2	2	4	Philip Ruck
RSK20	Project	Town Hall Remodelling	Organisational / management / human factors	BBC officer numbers cannot be verified	2	4	8	Year 1	Avoid	Establish correct officers numbers that support the MTFP <b>30/04/14</b> Full staffing numbers and associated assumptions incorporated into Design Brief. This does rely upon ICT being robust and resilient	2	2	4	Philip Ruck
RSK21	ICT		Organisational / management / human factors	Prolonged failure of key ICT infrastructure and/pr software (total or in part), leading to service disruption, resulting in poor customer service, reputational damage, non-compliance with legislation, additional financial costs/penalties.	4	4	16	Year 1	Reduce	Additional dedicated strategic and operational support has been procured to develop a co-ordinated forward plan of priority activity. In addition, these resources will immediately address any issues with disaster recovery arrangements, the resilience of network infrastructure, and team capacity. This arrangement is in its infancy and the benefits are expected to be seen within 1-3 months.	4	3	12	Philip Ruck